# Validation of genomic profiling by PGDx plasma focus<sup>TM</sup> to facilitate precision oncology through cell-free DNA testing of solid tumors

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## ABSTRACT

## Introduction

Genomic profiling is critical for precision oncology to help guide treatment decisions and tissue isn't always available for testing. To overcome several challenges of tissue testing, an alternate and complimentary method is the assessment of cellfree DNA (cfDNA). The PGDx plasma focus assay is a custom Laboratory Developed Test (LDT), next generation sequencing cfDNA genomic profiling test intended to identify actionable variants in solid cancers with FDA-approved targeted therapies including NSCLC, CRC, melanoma, breast, esophageal, gastroesophageal junction (GEJ), and gastric carcinomas.

#### Methods

PGDx plasma focus is a hybrid capture-based assay that uses 25ng of cfDNA input. It interrogates all coding exons of 33 genes to detect single nucleotide variants (SNVs), insertions and deletions (Indels). The assay also reports copy number amplifications (CNAs) in 8 genes, gene fusions in 5 genes, and microsatellite instability (MSI). Targets were selected for clinical utility and include SNVs/Indels in AKT1, ALK, APC, ARID1A, ATM, BRAF, BRCA1/2, BRIP1, CCND1, CD274, CDH1, CSF1R, EGFR, ERBB2, EZH2, FGFR1/2, HRAS, KIT, KRAS, MET, MYC, NRAS, NTRK1, PDGFRA, PIK3CA, POLD1, POLE, RAF1, RET, ROS1, TP53; CNAs in CCND1, CD274, EGFR, ERBB2, FGFR2, KIT, MET, MYC and gene fusions in ALK, FGFR2, NTRK1, RET, and ROS1. Validation studies were performed with two Genome in a Bottle reference samples, 19 cell lines, and 94 clinical samples to assess assay performance against orthogonal cfDNA assays.

#### Results

Analytical sensitivity was determined using cell line blends and demonstrated a limit of detection (LoD) of 0.5-1.0% variant allele frequency (VAF) for SNVs, 0.3-1.3% for Indels, 1.3-1.4-fold for CNAs, and 0.1-1.4% VAF for gene fusions. Analytical specificity was assessed in two reference samples evaluated in duplicate, demonstrating specificity of >99% for SNVs/Indels (n=4.9x10<sup>5</sup>), and 100% for amplifications (n=32), gene fusions (n=20), and MSI (n=4). Reproducibility was determined from sensitivity replicates with an average positive agreement (APA) of 97.5% and an average negative agreement (ANA) of 99.9%. Interim analysis of concordance was performed on data from 94 clinical specimens and 17 cell lines, with further testing underway. PPA was 98.7% for SNVs (220/223), 89.3% for Indels (25/28), 96.2% for CNAs (25/26), 100% for translocations (7/7), and 100% for MSI (8/8). NPA was >99% for SNVs (n=3.6x10<sup>6</sup>), >99% for Indels (n=3.6x10<sup>6</sup>), >99% for CNAs (244/246), >99% (162/163) for gene fusions, and 98.8% for MSI (81/82). Finally, across all testing, 91.8% (146/159) samples passed on the first attempt. After repeats, 100% (159/159) of sample libraries passed quality metrics.

#### Conclusions

Taken together, these data demonstrate that PGDx plasma focus is a sensitive, specific, accurate, reproducible, and robust approach for cfDNA genomic profiling to supplement tissue testing and inform precision medicine clinical decision making.

## INTRODUCTION

Liquid Biopsy Background

## **Benefits of Liquid Biopsies**

Minimally Invasive

Can offer a comprehensive profile of primary and metastatic lesions

Increases access to testing in community settings

Decreased TAT from sample collection

Able to detect tumor-specific mutations associated with clonal evolution, particularly in acquired resistance settings

## ASSAY OVERVIEW

## Intended Use



Figure 1 Overview of cancer types within the current intended use of the assay. PGDx plasma focus targets clinically actionable genes in all these cancer types.

Gene List																																	
Gene	AKT1	ALK	APC	<b>ARID1A</b>	ATM	BRAF	<b>BRCA1</b>	BRCA2	BRIP1	CCND1	CD274	CDH1	<b>CSF1R</b>	EGFR	ERBB2	EZH2	FGFR1	FGFR2	HRAS	КІТ	KRAS	MET	MYC	NRAS	NTRK1	PDGFRA	PIK3CA	POLD1	POLE	RAF1	RET	ROS1	TP53
SNV and Indels	•	•	•	•	•	•	•		•	•	•	•	•	•	•	•		•	•	•	•	•	•	•	•	•	•	•	•	•	•	•	
Amplifications										•	•			•	•			•		•			•										
Fusions		•																•							•						•	•	

ASS	METHODS								
General Assay	y Specifications for PGDx plasma focus								
		Study	Number of Unique	Sample Composition					
Parameter	Specification	Accuracy	110	04 clinical camples 17 cell lines enriched for intended use					
Panel Size	>240,000 bp	Accuracy	110	indications					
Panel Content and Variant Type	33 genes for SNV and Indels 8 genes for amplifications 5 genes for gene fusions			Orthogonal Tests: PGDx PlasmaSELECT-64, PGDx elio™ plasma complete, PGDx elio plasma resolve, PGDx elio plasma focus (performed by an independent party), Pillar ONCO/Reveal PillarHS Multi-Cancer Panel					
	MSI status	Analytical Sensitivity (LoD)	12	4 cell line blends diluted to three targeted levels (below, at, above established LoD), evaluated in triplicate					
Devertable Deve	SNVs and Indels: $\geq 0.1\%$ VAF	Analytical Specificity	2	Reference material (Genome in a Bottle), run in duplicate					
Reportable Range	Amplifications: $\geq$ 1.2-fold	Repeatability/Reproducibility/Precision	4	Nested study design within LoD analysis					
Sample Type	Cell-free DNA (cfDNA) isolated from plasma								
DNA input Requirements	25 ng		KES	ULIS					
Sample Pass Rate	100% overall pass rate (159/159)								
Sequencing Platform/ Flow Cell	Sequencing Platform/ Flow Cell NextSeq 550 RUO or Dx		Result S	Summary					
Sequence Run	2 x 150 bp		7052	Figures 3-4					
Cases per Sequencing Run	7 samples (plus a run control)	Missense —		Summary of variants					
Workflow	Manual, performed at PGDx laboratory in Baltimore	Amplification		detected across the analytical validation study					
Variant Reporting	Automated analytical pipeline, clinically annotated variant report generated using QCI Interpret One	Nonsense	BRCA2 MYC EGFR						
Intended Use Indications	NSCLC, CRC, Breast Cancer, Esophageal Cancer, Gastroesophageal Junction Cancer, Gastric Cancer, Melanoma	Translocation							
Average De-duplicated Error-corrected Coverage	~2,700x	MSI-H							

## ASSAY WORKFLOW



#### Figure 2

Overview of PGDx plasma focus lab and analytical processing (Figure adapted from Keefer et al., 2022 is licensed under CC BY)



100.00% 90.00% 80.00% 70.00% 60.00% 50.00% 40.00% 30.00% 20.00% 10.00% 0.00% Substitutions Insertions and Copy Number MSI Gene Fusions Deletions Amplifications +PF/+<u>orth</u> -PF/+orth +PF/-<u>orth</u> 162 -PF/-orth 598804 3599023 244 110 110 Samples

## Figure 6

Summary of accuracy (concordance) results. Analysis includes all variants within the ROI of each assay and above LoD of both PGDx plasma focus and orthogonal (orth) assay.

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## RESULTS

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## Analytical Specificity Analysis

Variant Type	Specificity	Discrepant Positive Mutation Count
SNVs	99.99% (490,163/490,172)	9*
Indels	100.0% (490,172/490,172)	0
Copy Number Amplifications	100.0% (32/32)	0
Gene Fusions	100.0% (20/20)	0
Microsatellite Instability	100.0% (4/4)	0

\*False positive SNVs were distributed across four samples included in the study. All <2.5% VAF.



## Figure 7

Summary of observed limit of detection across variant types. MSI-H detected with 100% sensitivity in this study. Ranged and medians reported. Terms: VAF (Variant Allele Frequency), FRF (Fusion Read Fraction)

Repeatability, Reproducibility, and Precision Ana	lysis
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Variant Type		APA (%)	ANA (%)			
Sequence Mutations	Overall	97.5%	99.9%			
	Range Across Studies**	(97.0%-97.5%)	(99.9%-99.9%)			
Copy Number Amplifications	Overall	88.9%***	98.4%			
	Range Across Studies	(85.7%-92.3%)	(98.0%-99.0%)			
Gene Fusions	Overall	100.0%	100.0%			
	Range Across Studies	(100.0%-100.0%)	(100.0%-100.0%)			
MSI	Overall	100.0%	100.0%			
	Range Across Studies	(100.0%-100.0%)	(100.0%-100.0%)			

\*\*Evaluated overall (above), inter-lot, inter-instrument, inter-operator and within run.

\*\*\*Lower APA for amplifications is the result of a CNA reported in one replicate (below LoD but above assay threshold).

## CONCLUSIONS

PGDx plasma focus is a targeted LDT NGS assay designed for solid tumor profiling from cfDNA. The assay was designed, verified, and validated under pre-defined, robust design control processes. The assay configuration accommodates a throughput of 7 samples (plus a run control) and achieves sufficient sequencing depth of coverage for high sensitivity detection across a targeted panel, (~240,000 base pairs covering 33 genes) on a NextSeq 550 2x 150bp high output flow cell. PGDx plasma focus reports SNVs and Indels for all 33 genes in the panel, as well as amplifications in 8 genes and gene fusions in 5 genes, and also reports MSI status.

This validation study demonstrates that PGDx plasma focus is a highly sensitive, specific, accurate and reproducible assay. PPA evaluated in the accuracy study ranged from 89.3% (for Indels) to 100.0% (for translocations and MSI). NPAs were over 98% for all variant types. Specificity was 100.0% for all variants types except SNVs which had a specificity of >99%. SNV and Indels had an observed median LoD of 0.6% variant allele frequency, copy number amplifications at 1.4-fold, gene fusions at 0.5% fusion read fraction. MSI-high calls has a specificity of 100%. Samples repeated within runs, across runs, across operators, and across reagent batches had an APA of 97.5% and an ANA of >99%.